

Coding info theory, CH1 Solutions

**CHAPTER 1**

1.2.1

a)  $|A| = 4$

b)  $|A| = 3$

c)  $|A| = 50$

- d) The prime numbers between 2 and 40 are  
 $A = \{3, 5, 7, 11, 13, 17, 19, 23, 29, 31, 37\}$

so

$|A| = 11$

1.2.2

a)  $A \cup B = \{0, 1, 2, 3, 4, 6, 8\} \Rightarrow |A \cup B| = 7$

b)  $A \cap B = \{0, 2, 4\} \Rightarrow |A \cap B| = 3$

1.2.3

a)  $A - B = \{b, c, d, 0, 1, 3\} \Rightarrow |A - B| = 6$

b)  $B - A = \{+, /, \#\} \Rightarrow |B - A| = 3$

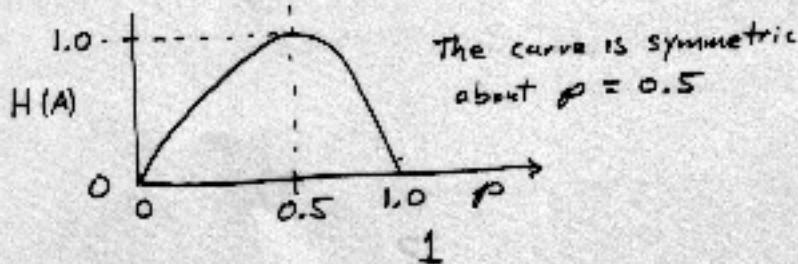
1.2.4

$H(A) = -0.9 \log_2(0.9) - 0.1 \log_2(0.1) = 0.469 \text{ bits}$

1.2.5

$H(A) = p \log_2(\frac{1}{p}) + (1-p) \log_2(\frac{1}{1-p})$

$= \log_2(\frac{1}{1-p}) + p \log_2(\frac{1-p}{p})$



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1.2.6

$|A| = 8$  implies the source data can be represented by  $\log_2(8) = 3$  bits. The entropy is

$$H(A) = - \sum_{i=0}^7 p_i \log_2(p_i) = 2.7979$$

The efficiency is therefore  $\frac{2.7979}{3} \times 100\% = 93.26\%$

1.2.7

$$a) \Pr(a_i) = \sum_{j=0}^2 \Pr(a_i, b_j)$$

$$\Rightarrow \Pr(a_0) = 0.31 \quad \Pr(a_1) = 0.17 \quad \Pr(a_2) = 0.31$$

$$\Pr(a_3) = 0.21$$

$\therefore$

$$H(A) = - [0.31 \log_2(0.31) + 0.17 \log_2(0.17) + 0.31 \log_2(0.31) \\ + 0.21 \log_2(0.21)] = 1.955$$

$$b) \Pr(b_j) = \sum_{i=0}^3 \Pr(a_i, b_j)$$

$$\Rightarrow \Pr(b_0) = 0.31 \quad \Pr(b_1) = 0.27 \quad \Pr(b_2) = 0.42$$

$\therefore$

$$H(B) = 1.5595$$

$$c) H(A, B) = - \sum_{i=0}^3 \sum_{j=0}^2 \Pr_{i,j} \log_2(\Pr_{i,j}) = 3.4467$$

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1.2.8

Since  $H(A, B) = H(B, A)$ , we have

$$H(A, B) = H(B) + H(A|B) \quad \text{from the chain rule}$$

so

$$H(A|B) = 3.4467 - 1.5595 = 1.8872$$

Likewise

$$H(A, B) = H(A) + H(B|A)$$

so

$$H(B|A) = 3.4467 - 1.9550 = 1.4917$$

1.2.9

Let  $a_i \in A$  and  $b_j \in B$ . From equation (1.2.6),

$$H(A, B) = \sum_i \sum_j p_{i,j} \log_2 \left( \frac{1}{p_{i,j}} \right)$$

Likewise

$$H(B, A) = \sum_j \sum_i p_{j,i} \log_2 \left( \frac{1}{p_{j,i}} \right)$$

But since  $\Pr(a_i, b_j) = \Pr(b_j, a_i)$

$$H(B, A) = \sum_j \sum_i p_{j,i} \log_2 \left( \frac{1}{p_{j,i}} \right) = H(A, B)$$

QED

1.2.10

$$H(B, A) = H(B) + H(A|B) \quad \text{by the chain rule}$$

From exercise 1.2.9, we know

$$H(A, B) = H(B, A) = H(B) + H(A|B)$$

QED

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1.2.11

From example 1.2.2, we know  $H(A)$  is maximized if all the symbol probabilities equal  $\frac{1}{|A|} = 0.10$ . Therefore

$$H(A) \leq \log_2 |A| = \log_2 (10) = 3.3219$$

The lower bound occurs if some  $a_i \in A$  has  $p_i = 1$ .  $\Rightarrow p_j = 0$  for  $j \neq i \Rightarrow H(A) = 0$ . Therefore

$$0 \leq H(A) \leq 3.3219$$

1.2.12

$$H(A) \leq - \sum_i p_i \log_2(p_i) \text{ with equality only if}$$

all the symbols are independent. Therefore

$$H(A) \leq 4.08 \text{ bits / letter}$$

1.2.13

Suppose we have a text consisting of  $n$  letters. From equation (1.2.12),

$$H(A_0, A_1, \dots, A_{n-1}) \leq n H(A)$$

The average number of bits per letter, i.e., the entropy rate, is Therefore

$$\lim_{n \rightarrow \infty} \frac{H(A_0, A_1, \dots, A_{n-1})}{n} \leq \frac{n H(A)}{n} = H(A)$$

Therefore,  $H(A)$  is an upper bound

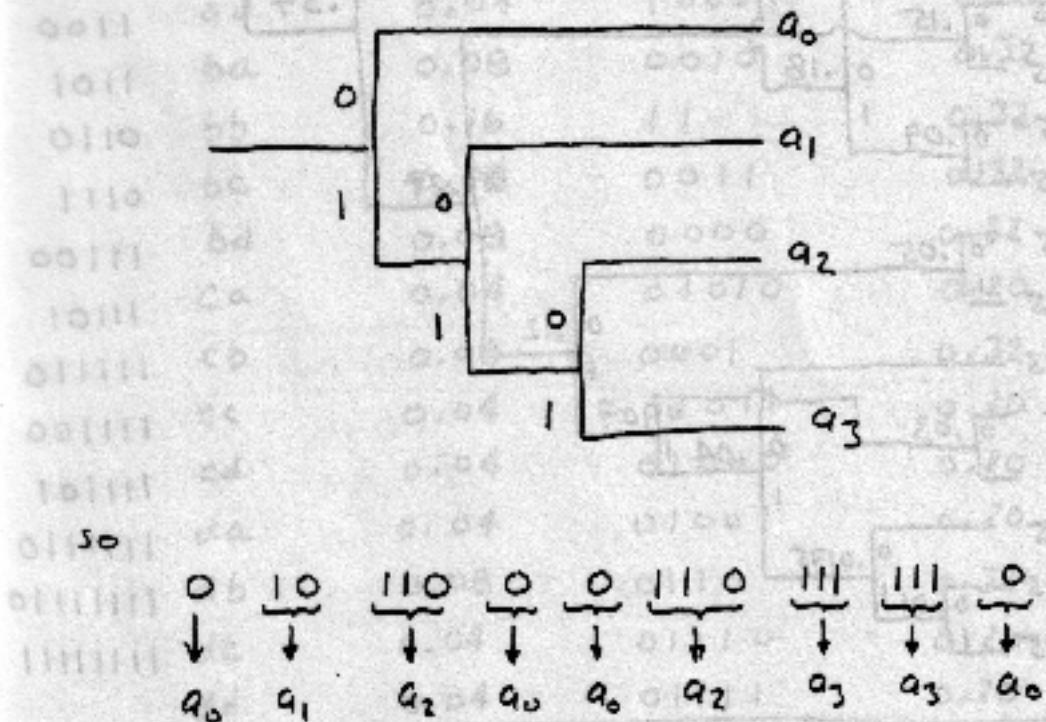
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**1.3.1**

Using the code defined in example 1.3.1, we have  
The code sequence 0 0 1 0 0 1 0 0 0 1 1 0 1 1 1

**1.3.2**

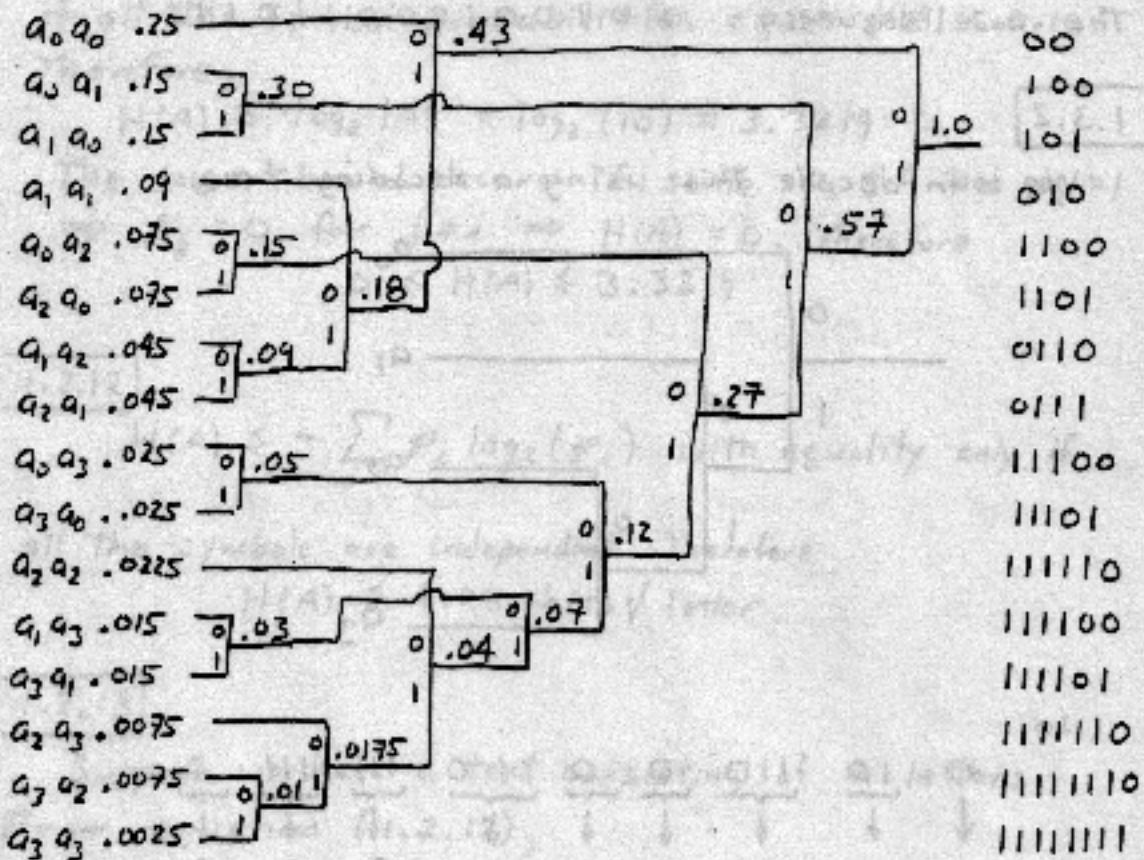
We can decode this using a decoding tree



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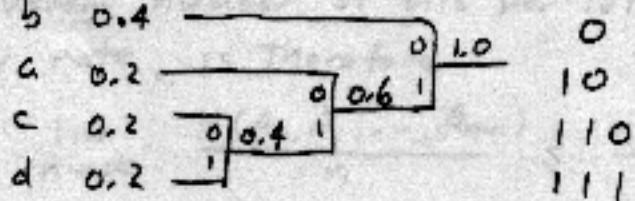
1.4.1



1.4.2

a)  $H(A) = 0.4 \log_2 \left(\frac{1}{0.4}\right) + 3(0.2) \log_2 \left(\frac{1}{0.2}\right) = 1.9219$

b)



c)  $\bar{L} = 0.4(1) + 0.2(2) + 0.2(3) + 0.2(3) = 2.0$

efficiency = 96.1%

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1.4.3]

Huffman codes are not unique. One possible code is

symbol	Prob.	Codeword	$\ell$
aa	0.04	1001	0.16
ab	0.08	101	0.24
ac	0.04	10000	0.20
ad	0.04	10001	0.20
ba	0.08	0010	0.32
bb	0.16	11	0.32
bc	0.08	0011	0.32
bd	0.08	0000	0.32
ca	0.04	01010	0.20
cb	0.08	0001	0.32
cc	0.04	01011	0.20
cd	0.04	01000	0.20
da	0.04	01001	0.20
db	0.08	0110	0.32
dc	0.04	01110	0.20
dd	0.04	01111	0.20
$\overline{L} = 3.92$			

$$\text{Efficiency} = \frac{3.843B}{3.92} \times 100\% = 98.06\%$$

Your codewords may differ, but your  $\overline{L}$  and your efficiency should be the same.

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1.4.4

Your program should get  $\bar{L} = 4.1195$

1.4.5

Let the source symbols have binary representation

$$q_0 : 00$$

$$q_1 : 01$$

$$q_2 : 10$$

$$q_3 : 11$$

The ROM table is then

Address      CONTENTS

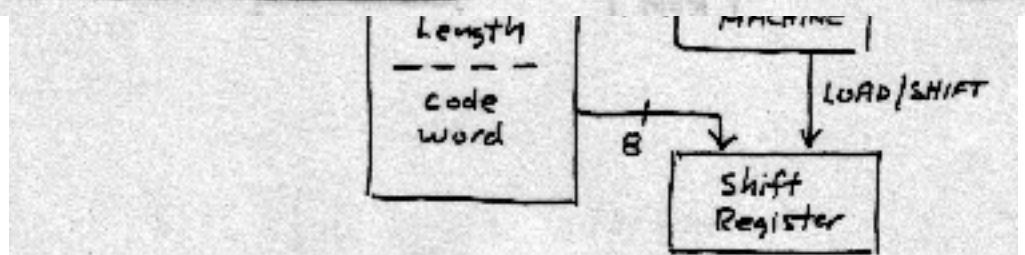
Address	Length	codeword
00	1	0xx
01	2	10x
10	3	110
11	3	111

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**1.5.1** FOLLOWING the LZ algorithm, we have the following :  
begin with  $n = 0$

symbol	code	xmit	n	DICTIONARY	
				Address	entry
b	$\langle 0, b \rangle$	—	2		
c	$\langle 2, c \rangle$	2	3	0	$\langle 0, \text{null} \rangle$
c	$\langle 3, c \rangle$	3	3	1	$\langle 0, a \rangle$
a	$\langle 3, a \rangle$	3	1	2	$\langle 0, b \rangle$
c	$\langle 1, c \rangle$	1	3	3	$\langle 0, c \rangle$
b	$\langle 3, b \rangle$	3	2	4	$\langle 2, c \rangle$
c	$\langle 2, c \rangle$	—	4	5	$\langle 3, c \rangle$
c	$\langle 4, c \rangle$	4	3	6	$\langle 3, a \rangle$
c	$\langle 3, c \rangle$	—	5	7	$\langle 1, c \rangle$
c	$\langle 5, c \rangle$	5	3	8	$\langle 3, b \rangle$
c	$\langle 3, c \rangle$	—	5	9	$\langle 4, c \rangle$
c	$\langle 5, c \rangle$	—	10	10	$\langle 5, c \rangle$
c	$\langle 10, c \rangle$	10	3	11	$\langle 10, c \rangle$
c	$\langle 3, c \rangle$	—	5	12	$\langle 11, c \rangle$
c	$\langle 5, c \rangle$	—	10	13	$\langle 6, c \rangle$
c	$\langle 10, c \rangle$	—	11	14	$\langle 10, a \rangle$
c	$\langle 11, c \rangle$	11	3		
a	$\langle 3, a \rangle$	—	6		
c	$\langle 6, c \rangle$	6	3		
c	$\langle 3, c \rangle$	—	5		
c	$\langle 5, c \rangle$	—	10		
a	$\langle 10, a \rangle$	10	1		



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1.5.2			DICTIONARY	
Symbol	Pointers	Dealloc	address	entry
2	$\langle 0, b \rangle \rightarrow \langle 0, \text{null} \rangle$	b	0	$\langle 0, \text{null} \rangle$
3	$\langle 0, c \rangle \rightarrow \langle 0, \text{null} \rangle$	c	1	$\langle 0, a \rangle$
3	$\langle 0, c \rangle \rightarrow \langle 0, \text{null} \rangle$	c	2	$\langle 0, b \rangle$
1	$\langle 0, a \rangle \rightarrow \langle 0, \text{null} \rangle$	a	3	$\langle 0, c \rangle$
3	$\langle 0, c \rangle \rightarrow \langle 0, \text{null} \rangle$	c	4	$\langle 2, c \rangle$
4	$\langle 2, c \rangle \rightarrow \langle 0, b \rangle$	bc	5	$\langle 3, c \rangle$
5	$\langle 3, c \rangle \rightarrow \langle 0, c \rangle$	cc	6	$\langle 3, a \rangle$
10	$\langle 5, ? \rangle \rightarrow \langle 3, c \rangle \rightarrow \langle 0, c \rangle$	ccc	7	$\langle 1, c \rangle$
11	$\langle 10, ? \rangle \rightarrow \langle 5, c \rangle \rightarrow \langle 3, c \rangle \rightarrow \langle 0, c \rangle$ $\rightarrow \text{cccc}$		8	$\langle 3, b \rangle$
			9	$\langle 4, c \rangle$
6	$\langle 3, c \rangle \rightarrow \langle 0, c \rangle \rightarrow \langle 0, \text{null} \rangle$	ca	10	$\langle 5, c \rangle$
10	$\langle 5, c \rangle \rightarrow \langle 3, c \rangle \rightarrow \langle 0, c \rangle$	ccc	11	$\langle 10, c \rangle$
			12	$\langle 11, c \rangle$
			13	$\langle 6, c \rangle$
			14	$\langle 10, - \rangle$

OUTPUT : b c c a c bc cc cccc ca ccc